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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Applicants: : Reuven Bakalash et al.

Serial No. : 10/849,501 Filing Date : May 19, 2004

Title of Invention : METHOD OF AND APPARATUS FOR DATA AGGREGATION

UTILIZING A MULTIDIMENSIONAL DATABASE AND MULTI-STAGE DATA AGGREGATION OPERATIONS

Examiner : Jeffrey Gaffin

Group Art Unit : 2165

Attorney Docket No. : 122-007USANL0

Honorable Commissioner of Patents

and Trademarks Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Sir:

In order to fulfill Applicants' continuing obligation of candor and good faith as set forth in 37 C.F.R. 1.56, Applicants submit herewith a supplemental Information Disclosure Statement prepared in accordance with 37 C.F.R Sections 1.97, 1.98 and 1.99.

RELATED USPTO PROCEEDINGS AND FEDERAL ACTIONS

The present patent application claims priority to patent applications corresponding to U.S. Pat. Nos. 6,385,604 and U.S. Pat. No. 6,434,544. U.S. Pat. Nos. 6,385,604 and 6,434,544 are involved in an on-going litigation, Hyperion Solutions Corporation v. HyperRoll, Inc. and HyperRoll Israel, Ltd., N.D. Cal., Case No. CV 05-05054-VRW.

Applicants are submitting in this Supplemental Information Disclosure Statement (IDS), prior art references produced by Hyperion Solutions Corporation in connection with Civil Action No. CA 05-05054 VRW regarding the patentability of the claims of U.S. Pat. Nos. 6,385,604 and 6,434,544.

Applicants are also submitting, in its Supplemental IDS, Preliminary Invalidity Contention (PIC) charts for U.S. Pat. Nos. 6,385,604 and 6,434,544 submitted by Hyperion to the district court in Civil Action No. CV 05-05054 VRW. Although the PIC charts are not prior art, they are being provided as background information regarding how Hyperion has characterized prior art

references in this Supplemental Information Disclosure Statement in Case No. CV 05-05054-VRW in regards to the claims of U.S. Pat. Nos. 6,385,604 and 6,434,544.

U.S. Pat. No. 6,385,604 was also recently reexamined by the USPTO (control number 90/007,326). The USPTO has issued a Notice of Intent to Issue a Reexamination Certificate (NIRC).

The disclosures are as follows:

U.S. PUBLICATIONS

| NUMBER | FILING DATE | TITLE |
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| 6,947,934 B1 | February 16, 2000 | AGGREGATE PREDICATES AND SEARCH IN A DATABASE MANAGEMENT SYSTEM |
| 6,934,687 B1 | November 20, 1997 | COMPUTER ARCHITECTURE AND METHOD FOR SUPPORTING AND ANALYZING ELECTRONIC COMMERCE OVER THE WORLD WIDE WEB FOR COMMERCE SERVICE PROVIDERS AND/OR INTERNET SERVICE PROVIDERS |
| 6,898,603 B1 | October 15, 1999 | MULTI-DIMENSIONAL DATA STRUCTURE CACHING |
| 6,867,788 B1 | June 8, 1999 | VIEW COMPOSITION SYSTEM FOR SYNTHESIZING MULTIPLE VISUAL REPRESENTATIONS OF MULTIPLE DATA SETS |
| 6,842,758 B1 | July 30, 1999 | MONDULAR METHOD AND SYSTEMS FOR PERFORMING DATABASE QUERIES |
| 6,836,894 B1 | July 27, 1999 | SYSTEM AND METHODS FOR EXPLORATORY ANALYSIS FOR DATA FOR EVENT MANAGEMENT |
| 6,826,593 B1 | June 9, 1999 Page 2 of 42 | COMPUTER IMPLEMENTED METHOD |

| | | AND APPARATUS FOR FULFILLING A REQUEST FOR INFORMATION CONTENT WITH A USER-SELECTABLE VERSION OF A FILE CONTAINING THAT INFORMATION CONTENT |
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| 6,816,854 | June 8, 2001 | METHOD AND APPARATUS FOR DATABASE QUERY DECOMPOSITION |
| 6,738,975 B1 | October 5, 1999 | EXTENSIBLE DISTRIBUTED ENTERPRISE APPLICATION INTEGRATION SYSTEM |
| 6,708,155 B1 | July 7, 1999 | DECISION MANAGEMENT SYSTEM WITH AUTOMATED STRATEGY OPTIMIZATION |
| 6,707,454 B1 | October 12, 1999 | SYSTEMS AND METHODS FOR VISUALIZING MULTI-DIMENSIONAL DATA IN SPREADSHEETS AND OTHER DATA STRUCTURES |
| 6,694,316 B1 | January 21, 2000 | SYSTEM AND METHOD FOR A SUBJECT-BASED CHANNEL DISTRIBUTION OF AUTOMATIC, REAL- TIME DELIVERY OF PERSONALIZED INFORMATIONAL AND TRANSACTIONAL DATA |
| 6,691,140 B1 | July 30, 1999 | METHOD AND SYSTEM FOR MULTIDIMENSIONAL STORAGE MODEL WITH INTERDIMENSIONAL LINKS |
| 6,691,118 B1 | October 31, 1997 | CONTEXT MANAGEMENT SYSTEM FOR MODULAR SOFTWARE ARCHITECTURE |
| 6,678,674 B1 | July 7, 1999 | DATA RETRIEVING METHOD AND APPARATUS DATA RETRIEVING SYSTEM AND STORAGE MEDIUM |
| 6,677,963 B1 | November 16, 1997 | COMPUTER-EXECUTABLE METHOD FOR IMPROVING UNDERSTANDING OF BUSINESS DATA BY INTERACTIVE RULE MANIPULATION |
| 6,671,715 B1 | January 21, 2000 Page 3 of 42 | SYSTEM AND METHOD FOR |

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| | | AUTOMATIC, REAL-TIME DELIVERY OF PERSONALIZED INFORMATIONAL AND TRANSACTIONAL DATA TO USERS VIA HIGH THROUGHPUT CONTENT DELIVERY DEVICE |
| 6,643,608 B1 | February 22, 2000 | SYSTEM AND METHOD FOR COLLECTING AND ANALYZING SHIPMENT PARAMETER DATA AFFECTING PREDICTED STATISTICAL VARIABLES OF SHIPPED ARTICLES |
| 6,633,875 B2 | December 30, 1999 | COMPUTER DATABASE SYSTEM AND METHOD FOR COLLECTING AND REPORTING REAL ESTATE PROPERTY AND LOAN PERFORMANCE INFORMATION OVER A COMPUTER DRIVEN NETWORK |
| 6,628,312 B1 | December 2, 1998 | INTERACTIVE INTERFACE FOR VISUALIZING AND MANIPULATING MULTI-DIMENSIONAL DATA |
| 6,615,096 B1 | January 31, 2000 | METHOD USING STATISTICALLY ANALYZED PRODUCT TEST DATA TO CONTROL COMPONENT MANUFACTURING PROCESS |
| 6,609,120 B1 | June 18, 1999 | DECISION MANAGEMENT SYSTEM WHICH AUTOMATICALLY SEARCHES FOR STRATEGY COMPONENTS IN A STRATEGY |
| 6,606,638 B1 | October 5, 1999 | VALUE-INSTANCE-CONNECTIVITY COMPUTER-IMPLEMENTED DATABASE |
| 6,604,135 B1 | August 6, 1999 | WWW CLIENT SERVER DYNAMIC INTERACTIVE SYSTEM METHOD |
| 6,601,034 B1 | December 23, 1998 | DECISION MANAGEMENT SYSTEM WHICH IS CROSS-FUNCTION, CROSS- INDUSTRY AND CROSS-PLATFORM |
| 6,587,857 B1 | June 30, 1999 | SYSTEM AND METHOD FOR WAREHOUSING AND RETRIEVING DATA |

| 6,581,068 B1 | February 2, 2000 | SYSTEM AND METHOD FOR INSTANT CONSOLIDATION, ENRICHMENT, DELEGATION AND REPORTING IN A MULTIDIMENSIONAL DATABASE |
|--------------|--------------------|---|
| 6,581,054 B1 | July 30, 1999 | DYNAMIC QUERY MODEL AND METHOD |
| 6,567,814 B1 | August 26, 1999 | METHOD AND APPARATUS FOR KNOWLEDGE DISCOVERY IN DATABASES |
| 6,567,796 B1 | July 1, 1999 | SYSTEM AND METHOD FOR MANAGEMENT OF AN AUTOMATIC OLAP REPORT BROADCAST SYSTEM |
| 6,560,594 B2 | September 22, 1999 | CUBE INDICES FOR RELATIONAL DATABASE MANAGEMENT SYSTEMS |
| 6,557,008 B1 | December 7, 1999 | METHOD FOR MANAGING A METEROGENEOUS IT COMPUTER COMPLEX |
| 6,546,545 B1 | December 23, 1998 | VERSIONING IN A RULES BASED DECISION MANAGEMENT SYSTEM |
| 6,546,395 B1 | August 30, 1999 | MULTI-DIMENSIONAL RESTRUCTURE PERFORMANCE BY SELECTING A TECHNIQUE TO MODIFY A RELATIONAL DATABASE BASED ON A TYPE OF RESTRUCTURE |
| 6,542,895 B1 | August 30, 1999 | MULTI-DIMENSIONAL RESTRUCTURE PERFORMANCE WHEN ADDING OR REMOVING DIMENSIONS AND DIMENSIONS MEMBERS |
| 6,542,886 B1 | March 15, 1999 | SAMPLING OVER JOINS FOR DATABASE SYSTEMS |
| 6,535,868 B1 | August 27, 1998 | METHOD AND APPARATUS FOR MANAGING METADATA IN A DATABASE MANAGEMENT SYSTEM |
| 6,535,866 B1 | December 20, 1999 | METHOD AND APPARATUS FOR INFORMING ANALYSIS RESULT OF DATABASE |
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| 6,532,458 B1 | March 15, 1999 | SAMPLING FOR DATABASE SYSTEMS |
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| 6,513,019 B2 | February 16, 1999 | FINANCIAL CONSOLIDATION AND COMMUNICATION PLATFORM, LEWIS |
| 6,510,457 B1 | June 15, 1999 | DATA ANALYSIS METHOD AND APPARATUS FOR DATA MINING |
| 6,493,723 B1 | September 22, 1999 | METHOD AND SYSTEM FOR INTEGRATING SPATIAL ANALYSIS AND DATA MINING ANALYSIS TO ASCERTAIN WARRANTY ISSUES ASSOCIATED WITH TRANSPORTATION PRODUCTS |
| 6,493,718 B1 | October 15, 1999 | ADAPTIVE DATABASE CACHING AND DATA RETRIEVAL MECHANISM |
| 6,487,547 B1 | January 29, 1999 | DATABASE APPLIANCE COMPRISING HARDWARE AND SOFTWARE BUNDLE CONFIGURED FOR SPECIFIC DATABASE APPLICATIONS |
| 6,484,179 | October 25, 1999 | STORING MULTIDIMENSIONAL DATA IN A RELATIONAL DATABASE MANAGEMENT SYSTEM, ROCCAFORTE |
| 6,480,850 B1 | October 1, 1999 | SYSTEM AND METHOD FOR MANAGING DATA PRIVACY IN A DATABASE MANAGEMENT SYSTEM INCLUDING A DEPENDENTLY CONNECTED PRIVACY DATA MART |
| 6,480,848 B1 | July 19, 1999 | EXTENSION OF DATA DEFINITION LANGUAGE (DDL) CAPABILITIES FOR RELATIONAL DATABASES FOR APPLICATIONS ISSUING DML AND DDL STATEMENTS |
| 6,473,750 B1 | October 15, 1999 | ADAPTIVE QUERY EXECUTION IN A DISTRIBUTED DATABASE SYSTEM |
| 6,470,344 B1 | August 27, 1999 | BUFFERING A HIERARCHICAL INDEX OF MULTI-DIMENSIONAL DATA |
| 6,460,031 B1 | June 28, 1999 Page 6 of 42 | SYSTEM AND METHOD FOR CREATING |

| | | AND TITLING REPORTS USING AN INTEGRATED TITLE BAR AND NAVIGATOR |
|--------------|--------------------|--|
| 6,460,026 B1 | March 30, 1999 | MULTIDIMENSIONAL DATA ORDERING |
| 6,456,999 B1 | June 22, 1999 | AGGREGATIONS SIZE ESTIMATION IN DATABASE SERVICES |
| 6,453,322 B1 | July 19, 1999 | EXTENSION OF DATA DEFINITION LANGUAGE (DDL) CAPABILITIES FOR RELATIONAL DATABASES FOR APPLICATIONS ISSUING MULTIPLE UNITS OF WORK |
| 6,446,061 B1 | June 30, 1999 | TAXONOMY GENERATIONS FOR DOCUMENT COLLECTIONS |
| 6,446,059 B1 | June 22, 1999 | RECORD FOR A MULTIDIMENSIONAL DATABASE WITH FLEXIBLE PATHS |
| 6,442,560 B1 | June 22, 1999 | RECORD FOR MULTIDIMENSIONAL DATABASES |
| 6,442,269 B1 | August 23, 1999 | METHOD AND APPARATUS FOR INTEGRATING BUSINESS DATA AND TRANSACTION DATA IN A TRANSACTION PROCESSING ENVIRONMENT |
| 6,438,537 B1 | June 22, 1999 | USAGE BASED AGGREGATION OPTIMIZATION |
| 6,434,557 B1 | December 30, 1999 | ONLINE SYNTHESES PROGRAMMING TECHNIQUE |
| 6,430,547 B1 | September 22, 1999 | METHOD AND SYSTEM FOR INTEGRATING SPATIAL ANALYSIS AND DATA MINING ANALYSIS TO ASCERTAIN RELATIONSHIPS BETWEEN COLLECTED SAMPLES AND GEOLOGY WITH REMOTELY SENSED DATA |
| 6,430,545 B1 | December 21, 1998 | USE OF ONLINE ANALYTICAL PROCESSING (OLAP) IN A RULES BASED DECISION MANAGEMENT |
| | Page 7 of | [4 <i>L</i> |

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SYSTEM

| 6,424,979 B1 December 30, 1998 SYSTEM FOR PRESENTING A MANAGING ENTERPRISE ARCHITECTURES | AND |
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| ARCHIECTURES | |
| 6,421,730 B1 April 1, 1999 PROGRAMMABLE SYSTEM PROCESSING A PARTITION NETWORK INFRASTRUCTURE | ED |
| 6,418,450 B2 January 7, 1999 DATA WAREHOUSE PROGR ARCHITECTURE | AMS |
| 6,418,427 B1 December 30, 1999 ONLINE MODIFICATIONS OF DIMENSION STRUCTURES IN MULTIDIMENSIONAL PROC | N |
| 6,411,961 B1 May 6, 1999 APPARATUS FOR PROVIDIN REVERSE STAR SCHEMA DA | = ' ' |
| 6,411,681 B1 November 10, 1998 TRAFFIC TRACK MEASURE ANALYSIS OF NETWORK M | |
| 6,411,313 B1 June 14, 1999 USER INTERFACE FOR CREASPREADSHEET PIVOTTABLE | |
| 6,405,207 B1 October 15, 1999 REPORTING AGGREGATE R FROM DATABASE QUERIES | |
| 6,405,173 B1 February 26, 1999 DECISION MANAGEMENT S PROVIDING QUALITATIVE ACCOUNT/CUSTOMER ASSE VIA POINT IN TIME SIMULA | ESSMENT |
| 6,401,117 B1 March 31, 1999 PLATFORM PERMITTING EX OF MULTIPLE NETWORK INFRASTRUCTURE APPLICA | |
| 6,381,605 B1 May 29, 1999 HIERARCHICAL INDEXING ATTRIBUTE DATA BY SORT DIVIDING AND STORING SU | TNG, |
| 6,377,934 B1 May 6, 1999 METHOD FOR PROVIDING A STAR SCHEMA DATA MODE | |
| 6,366,905 B1 June 22, 1999 AGGREGATIONS DESIGN IN DATABASE SERVICES Page 8 of 42 | I |

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| | 6,363,393 B1 | February 22, 1999 | COMPONENT BASED OBJECT- RELATIONAL DATABASE INFRASTRUCTURE AND USER INTERFACE |
| | 6,363,353 B1 | May 6, 1999 | SYSTEM FOR PROVIDING A REVERSE STAR SCHEMA DATA MODEL |
| | 6,356,900 B1 | December 30, 1999 | ONLINE MODIFICATIONS OF RELATIONS IN MULTIDIMENSIONAL PROCESSING |
| | 6,339,775 | November 16, 1999 | APPARATUS AND METHOD FOR PERFORMING DATA TRANSFORMATIONS IN DATA WAREHOUSING |
| | 6,332,130 B1 | October 4, 1999 | SYSTEM AND PROCESS FOR INTER- DOMAIN PLANNING ANALYSIS AND OPTIMIZATION USING MODEL AGENTS AS PARTIAL REPLICAS OF REMOTE DOMAINS |
| | 6,321,206 B1 | December 21, 1998 | DECISION MANAGEMENT SYSTEM FOR CREATING STRATEGIES TO CONTROL MOVEMENT OF CLIENTS ACROSS CATEGORIES |
| | 6,324,623 B1 | May 30, 1997 | COMPUTING SYSTEM FOR IMPLEMENTING A SHARED CACHE |
| | 6,301,579 B1 | October 20, 1998 | METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR VISUALIZING A DATA STRUCTURE |
| Marie Control | 6,289,352 B1 | May 29, 1998 | APPARATUS AND METHOD FOR COMPOUND ON-LINE ANALYTICAL PROCESSING IN DATABASES |
| | 6,289,334 | January 31, 1997 | APPARATUS AND METHOD FOR DECOMPOSING DATABASE QUERIES FOR DATABASE MANAGEMENT SYSTEM INCLUDING MULTIPROCESSOR DIGITAL DATA PROCESSING SYSTEM |

| 6,285,994 B1 | May 25, 1999 | METHOD AND SYSTEM FOR EFFICIENTLY SEARCHING AN ENCODED VECTOR INDEX |
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| 6,282,544 B1 | May 24, 1999 | METHOD AND APPARATUS FOR POPULATING MULTIPLE DATA MARTS IN A SINGLE AGGREGATION PROCESS |
| 6,275,818 B1 | November 5, 1998 | COST BASED OPTIMIZATION OF DECISION SUPPORT QUERIES USING TRANSIENT VIEWS |
| 6,260,050 B1 | June 30, 1999 | SYSTEM AND METHOD OF ADAPTING OUTPUT OF SERVICE RELATED OLAP REPORTS TO DISPARATE OUTPUT DEVICES |
| 6,256,676 | October 5, 1999 | AGENT-ADAPTER ARCHITECTURE FOR USE IN ENTERPRISE APPLICATION INTEGRATION SYSTEMS |
| 6,226,647 B1 | July 24, 1998 | METHOD, ARTICLE OF MANUFACTURE, AND APPARATUS FOR CONSTRUCTURING A MULTI- DIMENSIONAL VIEW CONTAINING TWO-PASS VALUE MEASURE RESULTS |
| 6,223,573, B1 | June 25, 1999 | SYSTEM AND METHOD FOR SELECTING ROWS FROM DIMENSIONAL DATABASES HAVING TEMPORAL DIMENTION |
| 6,219,654 B1 | August 30, 1999 | METHOD, SYSTEM AND PROGRAM PRODUCT FOR PERFORMING COST ANALYSIS OF AN INFORMATION TECHNOLOGY IMPLEMENTATION |
| 6,209,036 | June 6, 1997 | MANAGEMENT OF AND ACCESS TO INFORMATION AND OTHER MATERIAL VIA THE WORLD WIDE WEB IN AN LDAP ENVIRONMENT |
| 6,182,062 | August 21, 1996 | KNOWLEDGE BASED INFORMATION RETRIEVAL SYSTEM |
| 6,167,396 | May 12, 1999 Page 10 | METHOD AND APPARATUS FOR NAVIGATING AND DISPLAYING DATA of 42 |

| | | POINTS STORED IN A MULTIDIMENSIONAL DATABASE |
|-----------|-------------------|--|
| 6,154,766 | June 30, 1999 | SYSTEM AND METHOD FOR AUTOMATIC TRANSMISSION OF PERSONALIZED OLAP REPORT OUTPUT |
| 6,151,584 | November 20, 1997 | COMPUTER ARCHITECTURE AND METHOD FOR VALIDATING AND COLLECTING AND METADATA AND DATA ABOUT THE INTERNET AND ELECTRONIC COMMERCE ENVIRONMENTS (DATA DISCOVERER) |
| 6,125,624 | April 17, 1998 | ANTI-COKING FUEL INJECTOR PURGING DEVICE |
| 6,122,636 | May 13, 1999 | RELATIONAL EMULATION OF A MULTI-DIMENSIONAL DATABASE INDEX |
| 6,115,714 | March 20, 1998 | TRIGGERING MECHANISM FOR MULTI- DIMENSIONAL DATABASES |
| 6,094,651 | August 22, 1997 | DISCOVERY-DRIVEN EXPLORATION OF OLAP DATA CUBES |
| 6,078,994 | May 30, 1997 | SYSTEM FOR MAINTAINING A SHARED CACHE IN A MULTI-THREADED COMPUTER ENVIRONMENT |
| 6,078,924 | January 30, 1998 | METHOD AND APPARATUS FOR PERFORMING DATA COLLECTION, INTERPRETATION AND ANALYSIS, IN AN INFORMATION PLATFORM |
| 6,078,918 | April 2, 1998 | ONLINE PREDICTIVE MEMORY |
| 6,073,140 | July 29, 1997 | METHOD AND SYSTEM FOR THE CREATION, ENHANCEMENT AND UPDATE OF REMOTE DATA USING PERSISTENT KEYS |
| 6,014,670 | November 7, 1997 | APPARATUS AND METHOD FOR PERFORMING DATA TRANSFORMATIONS IN DATA WAREHOUSING |
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| 6,009,432 | July 8, 1998 | VALUE-INSTANCE-CONNECTIVITY COMPUTER-IMPLEMENTED DATABASE |
|-----------|-------------------|--|
| 6,003,036 | February 12, 1998 | INTERVAL-PARTITIONING METHOD FOR MULTIDIMENSIONAL DATA |
| 6,003,024 | November 5, 1997 | SYSTEM AND METHOD FOR SELECTING ROWS FROM DIMENSIONAL DATABASES |
| 5,990,892 | October 31, 1996 | ADMINISTRATIVE INTERFACE FOR A DATABASE IN A DISTRIBUTED COMPUTER ENVIRONMENT |
| 5,974,416 | November 10, 1997 | METHOD OF CREATING A TABULAR DATA STREAM FOR SENDING ROWS OF DATA BETWEEN CLIENT AND SERVER |
| 5,946,711 | May 30, 1997 | SYSTEM FOR LOCKING DATA IN A SHARED CACHE |
| 5,946,692 | May 8, 1997 | COMPRESSED REPRESENTATION OF A DATA BASE THAT PERMITS AD HOC QUERYING |
| 5,943,677 | October 31, 1997 | SPARSITY MANAGEMENT SYSTEM FOR MULTI-DIMENSIONAL DATABASES |
| 5,943,668 | June 30, 1997 | RELATIONAL EMULATION OF A MULTI-DIMENSIONAL DATABASE |
| 5,940,818 | June 30, 1997 | ATTRIBUTE-BASED ACCESS FOR MULTI-DIMENSIONAL DATABASES |
| 5,890,154 | June 6, 1997 | MERGING DATABASE LOG FILES THROUGH LOG TRANSFORMATIONS |
| 5,884,299 | February 6, 1997 | OPTIMIZATION OF SQL QUERIES INVOLVING AGGREGATE EXPRESSIONS USING A PLURALITY OF LOCAL AND GLOBAL AGGREGATION OPERATIONS |
| 5,867,501 | June 7, 1995 | ENCODING FOR COMMUNICATING DATA AND COMMANDS |

| 5,852,819 | January 30, 1997 | FLEXIBLE, MODULAR ELECTRONIC ELEMENT PATTERNING METHOD AND APPARATUS FOR COMPILING, PROCESSING, TRANSMITTING, AND REPORTING DATA AND INFORMATION |
|-----------|--------------------|--|
| 5,794,246 | April 30, 1997 | METHOD FOR INCREMENTAL AGGREGATION OF DYNAMICALLY INCREASING DATABASE DATA SETS |
| 5,794,229 | December 11, 1995 | DATABASE SYSTEM WITH METHODOLOGY FOR STORING A DATABASE TABLE BY VERTICALLY PARTITIONING ALL COLUMNS OF THE TABLE |
| 5,767,854 | September 27, 1996 | MULTIDIMENSIONAL DATA DISPLAY AND MANIPULATION SYSTEM AND METHODS FOR USING SAME |
| 5,742,806 | January 31, 1994 | APPARATUS AND METHOD FOR DECOMPOSING DATABASE QUERIES FOR DATABASE MANAGEMENT SYSTEM INCLUDING MULTIPROCESSOR DIGITAL DATA PROCESSING SYSTEM |
| 5,721,910 | June 4, 1996 | RELATIONAL DATABASE SYSTEM CONTAINING A MULTIDIMENSIONAL HIERARCHICAL MODEL OF INTERRELATED SUBJECT CATEGORIES WITH RECOGNITION CAPABILITIES |
| 5,706,503 | May 18, 1994 | METHOD OF CLUSTERING MULTI- DIMENSIONAL RELATED DATA IN A COMPUTER DATABASE BY COMBINING THE TWO VERTICLES OF A GRAPH CONNECTED BY AN EDGE HAVING THE HIGHEST SCORE |
| 5,696,916 | September 21, 1992 | INFORMATION STORAGE AND RETRIEVAL SYSTEM AND DISPLAY METHOD THEREFOR |
| 5,555,408 | October 25, 1993 | KNOWLEDGE BASED INFORMATION RETRIEVAL SYSTEM |

| 5,533,226 | October 30, 1989 | SYSTEM FOR DISPLAYING CONCEPT NETWORKS |
|-----------|-------------------|--|
| 5,519,859 | November 15, 1993 | METHOD AND APPARATUS FOR AUTOMATIC TABLE SELECTION AND GENERATION OF STRUCTURED QUERY LANGUAGE INSTRUCTIONS |
| 5,410,693 | January 26, 1994 | METHOD AND APPARATUS FOR ACCESSING A DATABASE |
| 5,404,506 | February 10, 1992 | KNOWLEDGE BASED INFORMATION RETRIEVAL SYSTEM |
| 5,307,484 | March 6, 1991 | RELATIONAL DATA BASE REPOSITORY SYSTEM FOR MANAGING FUNCTIONAL AND PHYSICAL DATA STRUCTURES OF NODES AND LINKS OF MULTIPLE COMPUTER NETWORKS |
| 5,293,615 | November 16, 1990 | POINT AND SHOOT INTERFACE FOR LINKING DATABASE RECORDS TO SPREADSHEETS WHEREBY DATA OF A RECORD IS AUTOMATICALLY REFORMATTED AND LOADED UPON ISSUANCE OF A RECALCULATION COMMAND |
| 5,202,985 | December 2, 1991 | APPARATUS AND METHOD FOR DISPLAYING DATA COMMUNICATION NETWORK CONFIGURATION AFTER SEARCHING THE NETWORK |
| 5,095,427 | June 14, 1989 | METHOD FOR PRIORITIZING DATA IN FINANCIAL INFORMATION SYSTEM |
| 4,985,856 | November 10, 1988 | METHOD AND APPARATUS FOR STORING, ACCESSING, AND PROCESSION VOXEL-BASED DATA |
| 4,868,733 | March 26, 1986 | DOCUMENT FILING SYSTEM WITH KNOWLEDGE-BASE NETWORK OF CONCEPT INTERCONNECTED BY GENERIC, SUBSUMPTION, AND SUPERCLASS RELATIONS |



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| <u>NUMBER</u> | PUBLICATION DATE | TITLE |
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| EP 0 314 279 A2 | May 3, 1989 | SYSTEM AND METHOD FOR DATABASE OPERATIONS |
| EP 0 336 584 A3 | February 5, 1997 | SORT MERGE OUTPUT |
| EP 0 743 609 A2 | November 20, 1996 | METHOD FOR QUERYING INCREMENTALLY MAINTAINED TRANSACTIONAL DATABASES |
| EP 0 869 444 A3 | October 7, 1998 | INTEGRATED DATABASE SYSTEM AND COMPUTER-READABLE RECORDING MEDIUM RECORDED WITH PROGRAM FOR MANAGING DATABASE STRUCTURE THEREOF |
| WO 99/09492 | February 25, 1999 | A COMPUTERIZED METHOD OF COMPILING REPORTS |
| WO 98/40829 | September 17, 1998 | A COMPUTERIZED METHOD FOR DYNAMICALLY CREATING, MODIFYING, REMOVING AND MAINTAINING INFORMATION IN A DATABASE |
| WO 95/08794 | March 30, 1995 | A MANAGEMENT AGENT SYSTEM FOR THE SUPPORT OF MULTIPLE NETWORK MANAGERS, AND A METHOD FOR OPERATING SUCH A SYSTEM |

TECHNICAL PUBLICATIONS

Preliminary Invalidity Contention (PIC) charts for U.S. Pat. Nos. 6,385,604 and 6,434,544 submitted by Hyperion to the district court in Civil Action No. CV 05-05054 VRW

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Attunity Connect SQL - PowerPoint presentation

Schumacher, Robin. BrioQuery Enterprise 4.0; Brio Technology's desktop OLAP tool, Lexis.com

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DM Review's website re Charles Schwab Plans for Continued Prosperity with Seagate Holos

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Groh et al., Managing Multidimensional Data marts with Visual Warehouse and DB2 OLAP Server, IBM

A White Paper - Maximizing Database Performance with the OMNIDEX Query Accelerator

Chen et al., OLAP-Based Scalable Profiling of Customer Behavior, Abstract

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Maierhofer, Enrich. Present Limitations of Web-Enabled HOLAP: Empirical Experiments with Employment and Unemployment Statistics, Abstract

Codd et al., Providing OLAP to User-Analysts: An IT Mandate. Hyperion Solutions Corporation

Harrington, Jan. Relational Database Design Clearly Explained. Morgan Kaufmann

blgate.cl's website re Seagate Holos Roadmap

Yoshizawa et al., SQL Based Association Rule Mining Using Commercial RDBMS (IBM DB2 UDB EEE) Abstract

Spinner, Karen. Unlocking the Data Warehouse with OLAP, Wall Street & Technology

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The Commissioner is also hereby authorized to charge any fee deficiencies or overpayments to Deposit Account No. 16-1340.

Respectfully submitted,

Dated: 13-19-06

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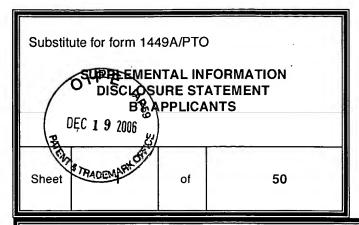
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| Application Number | 10/849,501 | | | | | | |
| Filing Date | May 19, 2004 | | | | | | |
| First Name Inventor | Reuven Bakalash et al. | | | | | | |
| Group Art Unit | 2165 | | | | | | |
| Examiner Name | Jeffrey Gaffin | | | | | | |
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EXAMINER

DATE CONSIDERED

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